

# Introduction to the Surreal Numbers

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- Operations, Limits, and Gaps

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- Case 3: symmetric to Case 2
- Case 4:  $L, R \neq \emptyset$ . Take  $\alpha$  as before. Break into two cases

## FET Case 4 Subcase 1

- If  $\alpha$  is a limit ordinal, we find that for each  $\gamma \in \alpha$  that there are some  $a, b$  agreeing for all  $\beta \in \gamma + 1$ .



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- Set  $c = d \frown d'$ , and we see that  $L < c$ .
- For any other  $L < e < R$ ,  $e(\beta) = d(\beta)$  for all  $\beta \in \alpha$  by lexicographical ordering, so  $d$  is an initial segment of  $e$ , and also by lexicographical ordering  $d'$  is an initial segment of  $e'$ .

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- A similar argument is run if  $R$  has elements with initial segment  $d$

## FET Case 4 Subcase 2

- If  $\alpha$  is a successor to  $\gamma$  then we have  $a \in L$  and  $b \in R$  such that  $a, b$  agree for all  $\beta \in \gamma$  and no  $a \in L, b \in R$  agree on all of  $\gamma + 1$ .

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- A similar argument works for  $d \in L$ .

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# Cofinality

- $(F, G)$  is **cofinal** in  $(L, R)$  if for all  $a \in L$  there is an  $a' \in F$  such that  $a \leq a'$  and for all  $b \in R$  there is a  $b' \in G$  such that  $b' \leq b$ .

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- (Theorem 2) If  $(L, R)$  and  $(F, G)$  are mutually cofinal in each other, then  $LR = FG$ . This follows because both pairs define the same element of minimal length.
- One immediate consequence of these theorems: for any  $a \in \mathcal{O}$ , if  $a_L = \{b \mid b < a \wedge b \subset a\}$  and  $a_R = \{b \mid a < b \wedge b \subset a\}$ , then  $a = LR$ .

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- One immediate consequence of these theorems: for any  $a \in$ , if  $a_L = \{b \mid b < a \wedge b \subset a\}$  and  $a_R = \{b \mid a < b \wedge b \subset a\}$ , then  $a = LR$ . This is the **canonical representation** of  $a$ .

## Inverse Cofinality

- There's a partial converse to these cofinality theorems.

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- Armed with these results we can begin to define algebraic operations.

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- Suppose  $a > 0, b > 0$ , then  $P(a, 0, b, 0)$  follows, ie  $ab > 0$ .

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- In turn, is RCF, and inverses can be found using traditional formal power series.

## Defining exp

- Gonshor uniformly defined an exponential operation on via

$$\exp(a) = \{0, (\exp a_L)[a - a_L]_n, (\exp a_R)[a - a_R]_{2n+1}\}$$

$$\left\{ \frac{\exp(a_R)}{[a_R - a]_n}, \frac{\exp a_L}{[a_L - a]_{2n+1}} \right\}$$

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## Defining log

- We define the natural log for our  $\omega$  powers

$$\ln(\omega^b) := \ln(\omega^{b_L}) + n, \ln(\omega^{b_R}) - \omega^{\frac{b_R - b}{n}} \ln(\omega^{b_R}) - n, \ln(\omega^{b_L}) + \omega^{\frac{b - b_L}{n}}$$

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- A sanity check: Consider

$\ln(\omega) = \ln(\omega^1)$ . Then

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## Types of Gaps and a topology

- Gaps come in two types with the following normal forms:

$$(I) \sum_{i \in \alpha} \omega^{y_i} r_i$$

$$(II) \sum_{i \in \alpha} \omega^{y_i} r_i \oplus (\pm \omega^\Theta)$$

where  $\Theta$  is a gap whose right class contains all  $y_i$ ,

$n \oplus g = n + g_L n + g_R$ , and  $\omega^\Theta = 0, \omega^l a \omega^r b$  with  $a, b \in_{>0}$  and  $l \in \Theta_L$  and  $r \in \Theta_R$ .

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$$(I) \sum_{i \in \alpha} \omega^{y_i} r_i$$

$$(II) \sum_{i \in \alpha} \omega^{y_i} r_i \oplus (\pm \omega^\Theta)$$

where  $\Theta$  is a gap whose right class contains all  $y_i$ ,

$n \oplus g = n + g_L n + g_R$ , and  $\omega^\Theta = 0, \omega^l a \omega^r b$  with  $a, b \in_{>0}$  and  $l \in \Theta_L$  and  $r \in \Theta_R$ .

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- $(\text{Off}, \infty)$  is an open interval by  $(\infty, \infty)$  is not.